# NIAGARA COUNTY INDUSTRIAL DEVELOPMENT AGENCY

## APPLICATION FOR FINANCIAL ASSISTANCE

**Buffalo Transformer Services LLC** 

(Applicant Name)

6311 Inducon Corporate Drive, Suite One Sanborn, New York 14132 Phone: 716-278-8760 Fax: 716-278-8769 http://niagaracountybusiness.com

**Updated May 2025** 

### NIAGARA COUNTY INDUSTRIAL DEVELOPMENT AGENCY

#### APPLICATION FOR FINANCIAL ASSISTANCE

#### ı. APPLICANT INFORMATION Buffalo Transformer Services LLC Company Name: Mailing Address: P.O. Box 1210 City/Town/Village & Zip code: Lockport, NY 14095 Phone: (716) 438-7004 www.buffalotransformer.com, www.buffalotransformerservcies.com Website: Fed Id. No.: 82-1964141 Contact Person and Title: Chad W. Curtis, President Email: chadcurtis@buffalotransformerservices.com Principal Owners/Officers/Directors (list owners with 15% or more in equity holdings with percentage ownership): Chad W. Curtis, 100% Corporate Structure (attach schematic if applicant is a subsidiary or otherwise affiliated with another entity) Form of Entity Corporation Date of Incorporation: State of Incorporation: Partnership or Limited \_\_\_\_\_ General Number of general partners If applicable, number of limited partners Date of formation Jurisdiction of Formation Limited Liability Company/Partnership (number of members 1) Date of organization: February 6, 2017 State of Organization: New York Sole Proprietorship If a foreign organization, is the applicant authorized to do business in the State of New York?

#### **Applicant's Counsel**

Company Name: Kloss, Stenger, and Gormley LLP				
Contact	Person, and	Title: Dave Kloss		
Mailing	Address: 92	45 Main Street		
City/To	wn/Village & 2	Zip code: Clarence, NY 14031		
Email:	dwkloss@klos	slaw.com		
Phone:	(716) 853-111	11		
Fax No.	: <u>(</u> 716) 759-10	094		
II. PROJ	ECT INFORMA	ATION		
	Project Addre			
	SWIS Locat Locat	Map Number (SBL) 108.83-2-23  (Section/Block/Lot)  Number 290900  red in City of Lockport  red in Town of Lockport		
		red in Village of		
В)	Current Asse Land Tota			
C)	Present lega	I owner of the site Anna McCann, Merritt Machinery & 10 Simonds HoldingsLLC		
	If other tha	n from applicant, by what means will the site be acquired for this project?		
D)	Describe the	e project: ansformer Services currently leases 30% of the building at 10 Simonds		
	Street. Th	ne project is to purchase the land, and building. The company plans to		
	improve th	ne building/property to do more efficient work and transformer handling		
	with approporiate conditions for the transformer manufacturing industry.			
	1. Project	site (land) Indicate approximate size (In acres or square feet) of project site. 1.2 acres		
	(b)	Indicate the present use of the project site.		

	2.	Indicate number, size (in square feet) and approximate age of existing buildings on site
		1 continuous building made from 4 different expansions, range between 40yrs - 25yrs old
	3.	Does the project consist of the construction of a new building or buildings? If yes, indicate number and size (in square feet) of new buildings.
		No
	4.	Does the project consist of additions and/or renovations to existing buildings? If yes, indicate nature of expansion and/or renovation.  Yes. An extension/addition to the small bay, moving the wall out 12ft to west prop. line
	5.	If any space in the project is to be leased to third parties, indicate total square footage of the project amount to be leased to each tenant and proposed use by each tenant.
	6.	Will onsite childcare be provided at the project facility? No
	7.	List principal items/categories of equipment to be acquired as part of the project.
		(2) new overhead cranes/rails/beams, 30-50 tons each, (2) Natural gas Generators 500-
		750kW each, Incoming power circuit breaker system, (1) Mezzanine,
	8.	Has construction work on this project begun?
		No
E)	Inte	er-Municipal Move Determination
		I the project result in the removal of a plant or facility of the applicant from one area of the te of New York to another?  Yes or No
	\	I the project result in the removal of a plant or facility of another proposed occupant of the
		ject from one area of the State of New York to another area of the State of New York?  Yes or No
		I the project result in the abandonment of one or more plants or facilities located in the te of New York?  Yes or No
	acti out	Yes to any of the questions above, explain how, notwithstanding the aforementioned closing or ivity reduction, the Agency's Financial Assistance is required to prevent the Project from relocating of the State, or is reasonably necessary to preserve the Project occupant's competitive position in its pective industry:
	-	
F)	F	urnish a copy of any environmental application presently in process of completion concerning

this project, providing name and address of the agency, and copy all pending or completed

documentation and determinations.

G)	Project Annual Compliance Reporting Contact(s) - Up annual compliance reports applicable to the Project throughout the length of the financial assistance. P Reports that will include; Local Labor, Project Investi	that will need to be completed each year ease list contact information for the Annual
	Name/Title: Chad W. Curtis, President	Name/Title:
	Address: 10 Simonds Street	Address:
	Lockport, NY 14094	71441 6331
	Phone: (716) 698-4635	Phone:
	Email: chadcurtis@buffalotransformerservice	
so	URCES & USES OF FUNDS	
A)	Estimated Project Costs:	
	Property Acquisition	\$ 1,100,000
	Construction (Improvements)	\$ 1,000,000
	Equipment Purchases/Fixtures/Furnishings	\$ 300,000
	Soft costs (i.e. engineering, architectural)	\$ 40,000
	Other (describe)	\$
	TOTAL USES OF FUNDS	\$ 2,440,000
B)	Sources of Funds for Project Costs (Must match above To	otal Uses of Funds):
	Bank Financing	\$ 2,440,000
	Equity	\$
	Grants/Tax Credits	\$
	Taxable or Tax Exempt Bond	\$
	Other	\$
	TOTAL SOURCES OF FUNDS	\$ 2,440,000
C)	Identify each state and federal grant/credit:	
		\$
		\$
		\$
		\$
	TOTAL PUBLIC FUNDS	\$ 0

III.

#### IV. FINANCIAL ASSISTANCE REQUESTED

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Management

۹.)	Benefits Red	nuested:							
,	Sales Tax Exemption Mortgage Recording Tax Exemption								
		roperty Tax Abate	ement (PILOT)	l iv	iortgage Necorumg	Tax Exemption			
B.)	Value of Inc	entives: LEAVE	THIS SECTION BLAN	١K	(will be estimated	by NCIDA Staff)			
•	Property Ta	x Exemption							
	Estimated duration of Property Tax exemption: 15								
		Sales and Use Tax							
	Estimated v	\$40,000		_					
			exemption for fixt					_	
	Estimated of	duration of Sales	Tax exemption: $\frac{2}{}$			_			
	Mortgage F	Recording Tax Exe	mption Benefit						
	Estimated v	alue of Mortgage	e Recording Tax exe	em	otion: \$ <u>18,300</u>			_	
C.)	Financial As	sistance Determi	nation:						
	If financial i	ncentives are not	provided by NCIDA	, is	the project financia	ally viable?			
	Yes	or No							
			taken without Finan	cia	l Assistance provide	ad hy the Agenc	y than r	rovide a	
	-		ded below indicatin				(CO)		
: <del></del>									
-									
-									
_									
EMPL	OYMENT PL	AN					_		
		# of	Retained Jobs		# of Created Jobs		1		
		Retained Jobs	Average Annual		(3 yrs after project completion)	Average A			
			Salary		Same of year and any	Salary			
Full	Time	11	\$ 83,000		12	\$ 83,000	,		
Part	time	2	\$ 40,000						
•	TOTAL FTEs		\$0						
Annı	ual Salary Ra	nge of Jobs to be	e Created: \$ 58,	00	0 to	\$ 115,000		_	
	Category of Jobs to be Retained and Created:								
Job	Job Categories (ie. Management, Administrative, Production, etc.) Retained: Technicians,								
Ac	Admin, Engineering. Created: Technicians, Admininstration, Engineering,								

#### VI. REPRESENTATIONS BY THE APPLICANT

The Applicant understands and agrees with the Agency as follows:

- A. <u>Job Listings:</u> In accordance with Section 858-b(2) of the New York General Municipal Law, the applicant understands and agrees that, if the proposed project receives any Financial Assistance from the Agency, except as otherwise provided by collective bargaining agreements, new employment opportunities created as a result of the proposed project must be listed with the New York State Department of Labor Community Services Division (the"DOL") and with the administrative entity (collectively with the DOL, the "JTPA Entitle") of the service delivery area created by the federal job training partnership act (Public Law 97-300)("JPTA") in which the project is located.
- B. <u>First Consideration for Employment</u>: In accordance with Section 858-b(2) of the General Municipal Law, the applicant understands and agrees that, if the proposed project receives any Financial Assistance from the Agency, except as otherwise provided by collective bargaining agreements, where practicable, the applicant must first consider persons eligible to participate in JTPA programs who shall be referred by the JPTA Entities for new employment opportunities created as a result of the proposed project.
- Compliance with Section 224-a(8)(a) of N.Y Labor Law. The applicant acknowledges receipt of notice from the Agency pursuant to Section 224-a(8)(d) of the New York Labor Law that the estimated mortgage recording tax exemption benefit amount, the estimated sales and use tax exemption benefit amount, and the estimated real property tax abatement benefit amount as so identified within this Application are "public funds" and not otherwise excluded under Section 224-a(3) of the New York Labor Law. You further acknowledge and understand that you have certain obligations as related thereto pursuant to Section 224-a(8)(a) of the New York Labor.
- D. Annual Sales Tax Filings: In accordance with Section 874(8) of the General Municipal Law, the Applicant understands and agrees that, if the proposed project receives any sales tax exemptions as part of the Financial Assistance from the Agency, in accordance with Section 874(8) of the General Municipal Law, the applicant agrees to file, or cause to be filed, with the New York State Department of Taxation and Finance, the annual form prescribed by the Department of Taxation and Finance, describing the value of all sales tax exemptions claimed by the applicant and all consultants or subcontractors retained by the Applicant.
- E. <u>Annual Employment Reports</u>: The applicant understands and agrees that, if the proposed project receives any Financial Assistance from the Agency, the applicant agrees to file, or cause to be filed, with the Agency, on an annual basis, reports regarding the number of people employed at the project site.

- F. <u>Compliance with N.Y. GML Sec. 862(1)</u>: Applicant understands and agrees that the provisions of Section 862(1) of the New York General Municipal Law, as provided below, will not be violated if Financial Assistance is provided for the proposed Project:
  - § 862. Restrictions on funds of the agency. (1) No funds of the agency shall be used in respect of any project if the completion thereof would result in the removal of an industrial or manufacturing plant of the project occupant from one area of the state to another area of the state or in the abandonment of one or more plants or facilities of the project occupant located within the state, provided, however, that neither restriction shall apply if the agency shall determine on the basis of the application before it that the project is reasonably necessary to discourage the project occupant from removing such other plant or facility to a location outside the state or is reasonably necessary to preserve the competitive position of the project occupant in its respective industry.
- G. <u>Compliance with Applicable Laws:</u> The Applicant confirms and acknowledges that the owner, occupant, or operator receiving Financial Assistance for the proposed Project is in substantial compliance with applicable local, state and federal tax, worker protection and environmental laws, rules and regulations.
- H. <u>False and Misleading Information</u>: The Applicant confirms and acknowledges that the submission of any knowingly false or knowingly misleading information may lead to the immediate termination of any Financial Assistance and the reimbursement of an amount equal to all or part of any tax exemption claimed by reason of the Agency's involvement the Project.
- I. <u>Recapture</u>: Should the Applicant not expend or hire as presented, the Agency may view such information/ status as failing to meet the established standards of economic performance. In such events, some or all of the benefits taken by the Applicant will be subject to recapture.
- J. <u>Absence of Conflicts of Interest:</u> The applicant has received from the Agency a list of the members, officers, and employees of the Agency. No member, officers or employee of the Agency has an interest, whether direct or indirect, in any transaction contemplated by this Application, except as herein described.

The Applicant and the individual executing this Application on behalf of applicant acknowledge that the Agency and its counsel will rely on the representations made in this Application when acting hereon and hereby represents that the statements made herein do not contain any untrue statement of a material fact and do not omit to state a material fact necessary to make the statements contained herein not misleading.

COUNTY OF ) ss.:	
Chad W. Cartis, being first	duly sworn, deposes and says:
1. That I am the <u>President</u> (Corpor (Applicant) and that I am duly authorized on behalf of	tate Office) of Buffalo Transformer of the Applicant to bind the Applicant. Services
<ol> <li>That I have read the attached Application, I know the knowledge and belief, this Application and the conter complete.</li> </ol>	
Subscribed and affirmed to me under penalties of perjury this 27 day of August, 20  (Notary Public)	Julie Lynn Lamoreaux Notary Public, State of New York Registration No. 01LA6421616 Qualified in Eric County My Commission Expires Spotember 7, 20.

This Application should be submitted to the Niagara County Industrial Development Agency, 6311 Inducon Corporate Drive, Suite One, Sanborn, New York 14132.

Attach copies of preliminary plans or sketches of proposed construction or rehabilitation or both.

#### HOLD HARMLESS AGREEMENT

Applicant hereby releases the NIAGARA COUNTY INDUSTRIAL DEVELOPMENT AGENCY and the members, officers, servants, agents and employees thereof (the "Agency") from, agrees that the Agency shall not be liable for and agrees to indemnify, defend and hold the Agency harmless from and against any and all liability arising from or expense incurred by (A) the Agency's examination and processing of, and action pursuant to or upon, the attached Application, regardless of whether or not the Application or the Project described therein or the tax exemptions and other assistance requested therein are favorably acted upon by the Agency, and (B) any further action taken by the Agency with respect to the Project; including without limiting the generality of the foregoing, all causes of action and reasonable attorneys' fees and any other expenses incurred in defending any suits or actions which may arise as a result of any of the foregoing. If, for any reason, the Applicant fails to conclude or consummate necessary negotiations, or fails, within a reasonable or specified period of time, to take reasonable, proper or requested action, or withdraws, abandons, cancels or neglects the Application, or If the Agency or the Applicant are unable to reach final agreement with respect to the Project, then, and in the event, upon presentation of an invoice itemizing the same, the Applicant shall pay to the Agency, its agents or assigns, all out of pocket costs incurred by the Agency in processing of the Application, including reasonable attorneys' fees, if any. Notwithstanding anything contained herein to the contrary, the foregoing indemnities shall not be applicable with respect to misconduct, negligence, or criminal activity on the part of the Agency. It is understood and agreed that the Applicant has the right to join in any defense, and participate in the management of the defense, of any claim for which the Agency seeks indemnification.

In addition to the foregoing, the Applicant understands and acknowledges that (i) this application does not create or give rise to any legal obligations on the part of the Niagara County Industrial Development Agency (the "Agency") or the Applicant except as expressly stated herein, (ii) the terms and conditions governing the award of the financial assistance described herein will be set forth in a separate agreement(s), with the Agency, the form of which will be provided to the Applicant only upon the processing and approval of this application, (iii) the requested financial assistance described in application is based upon the representations made by the Applicant, based upon the Applicant's actual knowledge as of the date of this application, to the Agency, regarding the project, and (iv) that the Agency reserves the right to revise the financial assistance described in this application if any aspect of the project changes after receipt of the application, including changes to the number of jobs, amount of capital investment, or wages, by way of example only. In addition, the Applicant reserves the right to retract, clarify, amend or modify any such representations made prior to (or concurrently with) the submittal of this application to the Agency.

[stamp]

## 617.20 Appendix B Short Environmental Assessment Form

#### **Instructions for Completing**

Part 1 - Project Information. The applicant or project sponsor is responsible for the completion of Part 1. Responses become part of the application for approval or funding, are subject to public review, and may be subject to further verification. Complete Part 1 based on information currently available. If additional research or investigation would be needed to fully respond to any item, please answer as thoroughly as possible based on current information.

Complete all items in Part 1. You may also provide any additional information which you believe will be needed by or useful to the lead agency; attach additional pages as necessary to supplement any item.

Part 1 - Project and Sponsor Information					
Name of Action or Project:					
Project Location (describe, and attach a location map):					
10 Simonds Street, Lockport, NY 14094, property with building and contents					
Brief Description of Proposed Action: Purchase and Improve the property and building located at 10 Simonds Street, Lockport	i, NY 140	94			
Name of Applicant or Sponsor:	Teleph	none: 7166984635			
Chad W. Curtis, Buffalo Transformer Services	E-Mai	1: chadcurtis@buffalotrar	nsform	erservic	es.com
Address: 10 Simonds Street					
City/PO: Lockport		State: NY	Zip 14094	Code:	
1. Does the proposed action only involve the legislative adoption of a plan, leadministrative rule, or regulation?  If Yes, attach a narrative description of the intent of the proposed action and may be affected in the municipality and proceed to Part 2. If no, continue to	the env	ironmental resources t		NO V	YES
2. Does the proposed action require a permit, approval or funding from any If Yes, list agency(s) name and permit or approval:	other go	overnmental Agency?		NO NO	YES
3.a. Total acreage of the site of the proposed action?  b. Total acreage to be physically disturbed?  c. Total acreage (project site and any contiguous properties) owned or controlled by the applicant or project sponsor?	0	.2 acres .2 acres		,	
4. Check all land uses that occur on, adjoining and near the proposed action  ☐ Urban ☐ Rural (non-agriculture) ☑ Industrial ☐ Comm ☐ Forest ☐ Agriculture ☐ Aquatic ☐ Other (☐ Parkland	ercial	□Residential (suburt	oan)		

5. Is the proposed action, a. A permitted use under the zoning regulations?	YES	N/A
b. Consistent with the adopted comprehensive plan?	NO NO	YES
6. Is the proposed action consistent with the predominant character of the existing built or natural landscape?		VES
7. Is the site of the proposed action located in, or does it adjoin, a state listed Critical Environmental Area?	NO	YES
If Yes, identify:		П
8. a. Will the proposed action result in a substantial increase in traffic above present levels?	NO	YES
	V	
b. Are public transportation service(s) available at or near the site of the proposed action?	V	
c. Are any pedestrian accommodations or bicycle routes available on or near site of the proposed action?		~
9. Does the proposed action meet or exceed the state energy code requirements?  If the proposed action will exceed requirements, describe design features and technologies:	NO	YES
——————————————————————————————————————	V	
10. Will the proposed action connect to an existing public/private water supply?	NO	YES
If No, describe method for providing potable water:		
Already Exists		Ш
11. Will the proposed action connect to existing wastewater utilities?	NO	YES
If No, describe method for providing wastewater treatment:		V
12. a. Does the site contain a structure that is listed on either the State or National Register of Historic	NO	YES
Places?  b. Is the proposed action located in an archeological sensitive area?	~	닏
	<b>V</b>	X/DC
13. a. Does any portion of the site of the proposed action, or lands adjoining the proposed action, contain wetlands or other waterbodies regulated by a federal, state or local agency?	NO	YES
b. Would the proposed action physically alter, or encroach into, any existing wetland or waterbody?  If Yes, identify the wetland or waterbody and extent of alterations in square feet or acres:	~	
14. Identify the training likely to the design of the likely to be found on the majority of the Charles II the	4	
14. Identify the typical habitat types that occur on, or are likely to be found on the project site. Check all tha  ☐ Shoreline ☐ Forest ☐ Agricultural/grasslands ☐ Early mid-successional	гарргу:	
☐ Wetland ☐ Urban ☐ Suburban		
15. Does the site of the proposed action contain any species of animal, or associated habitats, listed by the State or Federal government as threatened or endangered?	NO	YES
	NO.	VEC
16. Is the project site located in the 100 year flood plain?	NO	YES
17. Will the proposed action create storm water discharge, either from point or non-point sources?	NB	YES
If Yes,  a. Will storm water discharges flow to adjacent properties?  NO YES		
b. Will storm water discharges be directed to established conveyance systems (runoff and storm drains)? If Yes, briefly describe:		

18. Does the proposed action include construction or other activities that result in the impour water or other liquids (e.g. retention pond, waste lagoon, dam)?	ndment of	NO	YES
If Yes, explain purpose and size:		V	
19. Has the site of the proposed action or an adjoining property been the location of an active	e or closed	NO	YES
solid waste management facility?  If Yes, describe:		~	
20. Has the site of the proposed action or an adjoining property been the subject of remediati completed) for hazardous waste?  If Yes, describe:		NO	YES
ii Tes, describe.			Ш
I AFFIRM THAT THE INFORMATION PROVIDED ABOVE IS TRUE AND ACCU. KNOWLEDGE	RATE TO THE	BEST C	OF MY
Signature:			
	No, o small impa may	ct in	derate large npact may
<ol> <li>Will the proposed action create a material conflict with an adopted land use plan or zoni regulations?</li> </ol>	ng occur	,   -	ccur
2. Will the proposed action result in a change in the use or intensity of use of land?	V	]	
3. Will the proposed action impair the character or quality of the existing community?	V	]	
4. Will the proposed action have an impact on the environmental characteristics that caused establishment of a Critical Environmental Area (CEA)?	d the	]	
5. Will the proposed action result in an adverse change in the existing level of traffic or affect existing infrastructure for mass transit, biking or walkway?	V	_	
6. Will the proposed action cause an increase in the use of energy and it fails to incorporate reasonably available energy conservation or renewable energy opportunities?		]	
reasonably available energy conservation or renewable energy opportunities?		] ] ]	
reasonably available energy conservation or renewable energy opportunities?  7. Will the proposed action impact existing:		]	
reasonably available energy conservation or renewable energy opportunities?  7. Will the proposed action impact existing: a. public / private water supplies?		] ] ] ]	

	No, or small impact may occur	Moderate to large impact may occur
10. Will the proposed action result in an increase in the potential for erosion, flooding or drainage problems?	V	
11. Will the proposed action create a hazard to environmental resources or human health?	V	

Part 3 - Determination of significance. The Lead Agency is responsible for the completion of Part 3. For every question in Part 2 that was answered "moderate to large impact may occur", or if there is a need to explain why a particular element of the proposed action may or will not result in a significant adverse environmental impact, please complete Part 3. Part 3 should, in sufficient detail, identify the impact, including any measures or design elements that have been included by the project sponsor to avoid or reduce impacts. Part 3 should also explain how the lead agency determined that the impact may or will not be significant. Each potential impact should be assessed considering its setting, probability of occurring, duration, irreversibility, geographic scope and magnitude. Also consider the potential for short-term, long-term and cumulative impacts.

Check this box if you have determined, based on the information and analysis above, and any supporting documentation, that the proposed action may result in one or more potentially large or significant adverse impacts and an environmental impact statement is required.  Check this box if you have determined, based on the information and analysis above, and any supporting documentation, that the proposed action will not result in any significant adverse environmental impacts.			
Name of Lead Agency And I to 1 to 2 a 2	Date Executive Director		
Print or Type Name of Responsible Officer in Lead Agency	Title of Responsible Officer		
Signature of Responsible Officer in Land Agency	Signature of Preparer (if different from Responsible Officer)		